



IFW 21345

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Inventor: | Douglas B. Moran | Examiner: | Matthew E. Heneghan |
| Application No.: | 09/651,434 | Art Unit: | 2134 |
| Filed: | August 30, 2000 | Docket No.: | RECOP014 |
| Title: | SYSTEM AND METHOD FOR USING SIGNATURES TO DETECT COMPUTER INTRUSIONS | | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in a prepaid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

5-3, 2005.

Vicki Lorist
Vicki Lorist

INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF
EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 CFR 1.97(c))

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO SB/08A&B, may be material to examination of the above-identified patent application. Applicants disclose these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. Copies of the cited references are provided to the extent required by 37 CFR §1.98 and any applicable waivers.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

05/09/2005 MMEKONEN 00000012 09651434

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Attorney Docket No. RECOP014
Application No.: 09/651,434

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application, whichever event occurred last, but it is believed, before the mailing date of either (1) a final action under §1.113 or (2) a notice of allowance under §1.311, whichever occurs first.

Accompanying this Information Disclosure Statement is the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No. RECOP014).

Respectfully submitted,
VAN PELT, YI & JAMES LLP

A handwritten signature in black ink, appearing to read "William J. James".

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Substitute for form 1449/PTO

**Information Disclosure
Statement By Applicant**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

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| Application No.: | 09/651,434 |
| Filing Date | August 30, 2000 |
| First Named Inventor | Douglas B. Moran |
| Art Unit | 2131 |
| Examiner Name | Matthew E. Heneghan |
| Atty Docket No. | RECOP014 |

U.S. Patent Documents

| Examiner Initials | Cite No. | Document Number Number-Kind Code <i>(if known)</i> | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|----------------------|-------------|---|--------------------------------|--|---|
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code <i>(if known)</i> | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|----------------------|-------------|--|----------------|
| | A. | ANDREW H. GROSS, <i>Analysing Computer Intrusions</i> , Ph.D. thesis, Electrical and Computer Engineering (Communication Theory and Systems), San Diego Supercomputer Center, University of California, San Diego, 1997. | |

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| Examiner | Date Considered |
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

T² = Applicant is to place a check mark here if English language Translation is attached